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**WO 00/70969 A1**

(54) Title: MICROBIOLOGICALLY STABLE SAUCE EMULSION

(57) Abstract: A stable acidified, emulsified sauce containing preservatives, very high solids and salt is taught. The emulsion has selected emulsifiers and starches to result in good initial emulsification and good further dilution characteristics. The components of the sauce including solids, salt and preservatives, have been selected to achieve a 5 log microbiological kill on storage at ambient temperature without pasteurization and without adversely affecting the fresh flavor or the product.

**MICROBIOLOGICALLY STABLE SAUCE EMULSION****Field of the Invention**

Generally the invention deals with a microbiologically stable liquid or paste sauce mix. The sauce mix does not have to be pasteurized.

**Background of the Invention**

Many products have been developed to assist in cooking meat or other protein. These include both dry mixes and liquid sauces.

U.S. 5,679,300 teaches a method and natural flavoring composition to impart deep-fried flavor without adding fat. 15 The composition is used to coat a product to be cooked.

U.S. 4,963,376 teaches a sauce for coating foods to give them a brown color and crisp texture when used with a microwave oven.

20

U.S. 5,008,124 teaches a dry mix which can be applied to moistened meat pieces. The mix then liquefies to produce a thickened gravy or sauce on cooking.

25 U.S. 5,424,088 discloses a specially prepared combined starch-natural gum composition. This composition is used as a thickening agent.

The art has not addressed the problem of a combined dry seasoning mix/liquid sauce mix which are designed to be 30 used together and to be complementary to each other. The

art usually deals separately with either selected dry mixes or sauces having no functional connection between the seasoning mix and the sauce. This separate treatment limits the convenience of the product and limits the flavor 5 nuances available. In addition, the less heat that is used in processing the products, the less flavor will be affected.

It is accordingly an object of this invention to 10 provide a sauce product which uses minimal or no heat in the process, yet is still microbiologically stable. This has been accomplished with the result that the sauce produces fresh taste on cook up of the end product.

15 Once the desirability of a two component sauce seasoning mix combination has been recognized, the next problem is how to manufacture it so that it is stable both physically and microbiologically and so that it provides the desired taste. Certain sauces must be liquid or fluid 20 because of the delicacy of the flavors involved. Drying the flavor and seasoning components of the sauce significantly alters their flavor profile and reduces the perception of freshness. In addition, certain, sometimes irreversible, physical changes take place on drying.

25 Further, the sauce should contain a highly concentrated emulsion which must be easy to dilute on use. The emulsion, which can be a liquid or a paste, must be stable enough for dilution without breaking and further, 30 the diluted emulsion itself must be stable enough to be

heated after dilution. In addition, the emulsion must be sufficiently freeze-thaw and heat stable to allow shipment and storing in normal commercial channels.

5       The emulsion must also be microbiologically stable, which in turn requires a pH of 4.5 or less since the emulsion, to be fluid, will have a water activity of about 0.7 to 0.85. The pH adjustment for microbiological stability in turn could easily render many versions of the  
10 sauce too acidic for most tastes, especially dairy based sauces. To remedy this acidity a buffer is usually and preferably added to the seasoning mix to counteract the acidity of the sauce/diluted sauce emulsion. Stability of the emulsion is also important in the stored product  
15 because if the emulsion breaks or separates, localized pockets of high water activity may result, which in turn could result in microbiological instability.

#### Summary of the Invention

20       It has now been found that an emulsified, acidified sauce mix with extremely high solids content and salt content can be prepared and used with the advantage of good microbiological stability. Of course, the sauce can also be used with selected seasoning mixes in the proper  
25 circumstances. If properly processed, the sauce causes a 5 log decrease in organisms during a normal challenge test, thus essentially making pasteurization optional.

Detailed Description of the Invention

In a preferred embodiment of the cooking process using the combined sauce and seasoning mix, there will be a series of cooking steps. Preferably, the dry seasoning mix 5 is commingled with a protein source, such as chicken, to at least partially coat it and then the protein seasoning item is cooked. Chicken, or various other protein containing materials, may be cooked/roasted in the skillet or an oven dish after admixing with the dry mix, then a liquid is 10 added, which could be water, milk, wine or other liquid. Usually the sauce is added last after the liquid. Alternatively, the sauce can be diluted with the liquid and the whole then added to the cooked materials. Further cooking is then done to complete the dish. Carbohydrates, 15 such as pasta or rice and vegetables, may then be added during the final cooking steps or afterwards if desired.

The liquid sauce in order to be fluid has a certain amount of water employed Aw of 0.7 to 0.85 and thus must be 20 acidic and/or contain preservatives to prevent bacteriological growth. In order to counteract acidity, a buffer is employed in the dry mix to improve the palatability of the total mixture on cooking, especially when the sauces are dairy based.

25

Several dry seasoning mixes are disclosed herein as well as several combinations of shelf stable sauces for use with the dry seasoning mix and protein combinations.

The liquid/paste sauce mix is bacteriologically stable and indeed actually contributes to a five log bacterial kill in certain standard challenge tests. This stability is believed to be related to several individual parameters 5 or hurdles as follows: a preservative or mixture of preservatives; a low water content or low water activity; a very high solids/salt content and an acidulant. This hurdle technology is to prevent mold, yeast and other bacterial growth, the preservative system, the low water 10 content, the high solids and salt content and the acidulant all contribute to stability so there is no requirement to overload the food material with any single component. Since the sauce is highly concentrated, very high levels of salts can be employed because it is meant to be diluted in 15 use and so the high levels do not cause off-flavors.

Additionally, because of the very high solids level care must be taken to develop an appropriate emulsion. The acidulant provides the acid, which improves the microbial stability and helps to achieve a microbial kill in the 20 concentrated liquid sauce. This acidity, however, is controlled on cook-up to achieve a palatable taste in the final product.

One embodiment of the "hurdle" approach is described 25 in U.S. Patent 6,022,576 hereby incorporated by reference.

The steps in the instant invention are somewhat similar and include using a pH of about 3.5 to 4.5; using high solids and high levels of salt with the pH adjustments; limiting the amount of water; using selected well known 30 preservatives such as nisin, natamycin, sorbic acid and

sorbates and benzoic acid and benzoates. Together these steps contribute to the antimicrobial effect and thus individually each is incrementally antimicrobially effective.

5

Each of these steps produces at least incremental and frequently synergistic antimicrobial effects. None of them however, substantially add or detract from the overall delicate flavor of the product. Rather, all of the steps 10 are taken to improve microbiological stability without negatively affecting the flavor. Thus, the incrementally antimicrobially effective amounts must take into account the flavor profile of the product.

15 As mentioned above, the buffering action of the spice, or herb, dry seasoning mix helps to control the acidity of the final product to improve palatability.

The dry spice seasoning mix may include herbs, spices 20 and other salts and several formulations have been disclosed below. These contain essentially dry components, but may also include some small amount of fat components as well as the buffer. The buffer can be any standard buffer, but is preferably sodium bicarbonate.

25

These other buffers that may be used include, for example, sodium citrate, sodium phosphate, sodium carbonate, sodium hexametaphosphate, potassium citrate, potassium phosphate, potassium carbonate, potassium 30 bicarbonate, potassium hexametaphosphate, sodium malate,

potassium malate, glucono-delta lactone, as well as buffering salts of any food acids and in some cases including calcium or magnesium salts where appropriate.

5 The amount of buffer will be about 5 to 25% of the spice mix, depending on the pH desired. Usually the pH desired in the final cooked up product will be about 4 to 7 so that the final food does not have a sour taste unless a sour taste, such as for Teriyaki versions, is desired.

10

In addition to the spice seasoning mix used to cook with the protein source, in the method of the invention a liquid is employed, which may be water or milk or wine or other liquid, depending on the type of end dish desired.

15 The amount of liquid varies depending on the liquidity desired by the consumer in the final dish.

The concentrate sauce, or stir sauce, can be fluid or paste and is a stabilized emulsion which contains about 20 20 to 40% water, about 15 to 40% oil, and about 25 to 65% solids, preferably about 50% to 65% solids, which may be powders or other particulate solids. This includes about 2 to 18% sodium chloride, preferably 8 to 12% sodium chloride. An emulsifier, which may be egg (as commonly used and also as described in U.S. Patent 4,034,124), or other emulsifiers, at a level of about 1 to 2% and Avicel 1340 at a level of 0.5 to 5%. Avicel contains carboxy methyl cellulose and sodium stearoyl lactylate (SSL) in ratios of about 70/30. The Avicel combination is 30 preferably used at about 0.5 to 1.0%. The sodium stearoyl

lactylate may be used at a level of 0.25 to 2%. Carboxy methyl cellulose may also be used at a level of 0.5 to 5%.

5 In addition xanthan gum is employed which protects the carboxy methyl cellulose from coalescing or precipitation and helps to stabilize the emulsion. This gum also contributes to viscosity so only small amounts are preferred. The xanthan may be used at a level of 0.1 to  
10 about 0.25%.

A lipophilic starch is also used such as an N-CREAMER 46 or Purity gum 1773. These are used in amounts of 1 to 5%, preferably 1.5 to 2.5%, and provide dynamic shock  
15 sensitive stabilization, eg. As shown by centrifugation. Further emulsifiers could be TWEEN 60, polysorbate 60 or any food grade emulsifier at a level of about 1 to 2% with an HLB of about 14 to 25. The emulsification incorporates the oil droplets into the water. The stabilizer then keeps  
20 the emulsified droplets from coalescing or precipitating. In addition to the xanthan gum, other conventional gums may be used at similar levels such as propylene glycol alginate, pectin, guar, carageenan, gum arabic, gum tragacanth or indeed any salt resistant gum.

25

Purity gum 1773 is a modified food starch derived from waxy maize, having a unique emulsion stabilizing property. It is highly functional in emulsions of liquid foods containing fats and oils. This starch is used in the non  
30 dairy systems. It is cold water soluble and has high

lipophilic properties resulting in excellent emulsion stability, as measured through centrifuge testing. In addition, Purity 1773 promotes Freeze-Thaw stability. In the system of the invention, this starch does not function 5 as a bulking agent and does not add substantial viscosity to the concentrated sauce. This product is described in Technical Service Bulletin CGB093 from National Starch and Chemical Company. Other modified corn starches may also be used in the same amounts.

10

Microcrystalline cellulose, sometimes called Cellulose Gel, is a naturally occurring cellulose that has been purified. The microcrystalline cellulose portion is coprocessed with an emulsifying agent called sodium 15 stearoyl lactylate. When combined together, Avicel 1340 has an excellent stabilizing capacity for thermal exposure throughout product life. The emulsion surpassed Hot Box testing at 110° F (1 month), and at 95° F (3 months). In addition, Avicel 1340 promotes Freeze-Thaw stability. 20 Avicel 1340 imparts minimal viscosity to the finished product.

Purity gum CSC is a high viscosity modified food starch, derived from waxy maize, that can be used in many 25 high pH food systems. It is a heat swelling and shear resistant starch, providing viscosity/thickness upon heat preparation. It is used in the invention in amounts of about 1 to 12%, preferably 2 to 7%. The starch remains inactive in the emulsified/concentrated sauce, but on 30 heating increases in viscosity. It has a smooth short

texture and will not set upon cooling. This product is described in Technical Service Bulletin 32087-311 from National Starch and Chemical Company.

5 N Creamer 46 is a modified food starch having an excellent emulsion stabilizing property. It is derived from waxy maize. This starch is used in the dairy systems of the invention only and provides a dairy flavor to the product. It is cold water soluble and has high lipophilic  
10 properties resulting in excellent emulsion stability, as measured through centrifuge testing. In addition, N Creamer 46 promotes Freeze-Thaw stability. In the system of the invention, this starch does not function as a bulking agent and does not add substantial viscosity to the  
15 concentrated sauce. This material is described in Technical Service Bulletin BHB041 from National Starch and Chemical Company.

S.D. Egg Yolk is a primary emulsifying agent  
20 containing naturally occurring lecithins, having both hydrophilic and hydrophobic properties. The spray dried egg is used in the non-dairy systems of the invention and it is not enzyme modified.

25 Salted Liquid Egg containing lecithin and having both hydrophilic and hydrophobic properties is used. This liquid egg yolk has been modified with the enzyme lecithase. The use of liquid modified egg yolks in the dairy mixes of the invention results in sauce with greater

heat stability and provides a smoother and creamier finished product as described in U.S. Patent 4,034,124.

Xanthan Gum provides protection properties for Avicel 5 1340. A discussion of the physical and chemical properties may be found in Industrial Gums, R.L. Whistler, Ed., Academic Press, N.Y. (1973).

The water activity is preferably 0.7 to 0.85 and may 10 be as low as 0.5. The low end of the water activity (e.g. 0.5) has a high solids content and will make processing difficult and at the high end of water activity, it is difficult to get a microbial kill since heating is not required.

15

The sauce is preferably prepared using a two step process. Initially, the Avicel is added to water, followed by mixing in a high shear vessel. Xanthan and starch can be added using an oil slurry to protect the cellulose gel 20 structure. The following are then incorporated into the mixing vessel: preservatives, egg yolk, salt, flavors, onion, garlic, oil, spices, acids, cheese powders/pastes and the like (as appropriate dependent on the sauce system). After each ingredient is added, the appropriate 25 mix time is given to incorporate and hydrate the ingredients into a homogeneous mixture. The resultant mixture is a pre-emulsion of the final sauce.

The pre-emulsion is then passed through a vacuum 30 system, processed through a high shear device, for example

a high pressure homogenizer, a rotor-stator mixer, or a colloid mill. Once homogenized, excess heat is removed through a heat exchanger to assure that the emulsion remains stable. It is then held in a mixing vessel under 5 light agitation where particulates may be added to the final sauce. Once quality parameters are checked, it is ready to be packaged.

It has been found that the emulsion does have 10 microbial kill and thus pasteurization is optional. Consequently, since heating is not required, this improves the flavored freshness of the product. The preservatives employed are preferably sodium benzoate and/or sodium sorbate but nisin, natamycin and other microbiological 15 preservatives may also be used, from about 3000ppm to about 5000ppm in the emulsion and down to 50ppm to 1200ppm after dilution. The pH employed is 4.5 or lower or even 3.5 or 3.0 depending on taste. It must be below about 4.6 which is the U.S. Code of Federal Regulations limit for low acid 20 food. Depending on the pH and flavor desired in the sauce, the buffer in the seasoning mix must be adjusted to control the pH for selected taste.

A typical cook up method for the combined seasoning 25 mix and sauce mix is to add oil to a small pan, heat the pan and add the protein, for example chicken pieces. The dry seasoning mix may then be sprinkled on the cooking protein and the mix then seared preferably for four to five minutes on high heat. After the protein is cooked, liquid 30 is added to the protein in the pan, usually three cups,

which may be water, milk, wine and the like depending on taste. The sauce is then preferably added to the pan and the whole mixture heated. Optionally, pasta or rice or other carbohydrates and vegetables, either frozen or fresh, 5 are also added. The entire mixture may then be boiled to cook the optional carbohydrate or vegetable if used. The liquid and sauce could be pre-mixed before adding to the protein if desired.

10 As used herein, the word "comprising" is intended to mean including but not necessarily "consisting essentially of", "consisting of" or "composed of". In other words, "comprising" the listed steps or options need not be exhaustive.

15

Except in the examples, or where otherwise explicitly indicated, all numbers in this description indicating amounts of material or conditions of reaction, physical properties of materials and/or use are to be understood as 20 modified by the word "about." All amounts are by weight of the composition, unless otherwise specified.

#### Centrifuge Test

This test is used to establish emulsion stability. A 25 100 gram sample is placed in a centrifuge bottle and spun for twenty minutes at about 4,000 times gravity. Any oil separation is weighed. This test is then repeated on the same sample four times for the same amount of time for each repeat run. The oil separating out after each run is 30 collected and weighed. If the total amount of oil

separating out after five runs is 25% or less of the total oil in the sample, the emulsion is stable. The inventive emulsion thus has a centrifuge stability of about 25% or better. The less oil that separates out establishes a better and more stable emulsion.

The invention is illustrated by the following Examples.

#### 10 I. Savory Herb Combination

##### A. Sauce

<u>Ingredient Name</u>	<u>Wt.</u>	<u>Percent Intermix</u>	<u>Typical Grams Per Pouch</u>	<u>Total % Per Pouch</u>
Water	40.00	29.423	89.6000	29.42%
Liquid Soybean Oil	15.20	11.181	26.3626	11.18%
Salt Fine	9.00	6.620	5.9316	6.62%
HVP innova	8.34	6.135	5.4966	6.13%
Garlic	1.20	0.883	0.7909	0.88%
Sucrose	7.00	5.149	4.6135	5.15%
Starch-Purity 100-BG (C) Nat	11.00	8.091	7.2497	8.09%
(C) Chicken Powder	5.00	3.678	3.2953	3.68%
Disodium Salts	0.20	0.147	0.1318	0.15%
Garlic Powder			0.0000	0.00%
Phosphoric Acid	0.61	0.449	0.4020	0.45%
Chix Mirapoix HR	6.00	4.413	3.9544	4.41%
(C)Chicken Flavor	13.00	9.562	8.5679	9.56%
Chicken fat 0.50050/Bx.	15.20	11.181	10.0178	11.18%
Black Pepper	0.66	0.485	0.4350	0.49%
Mushroom Extract			0.0000	0.00%
Lactic acid	0.68	0.500	0.4482	0.50%
Egg yolk	1.10	0.809	0.7250	0.81%
(C) Xanthan Gum 100 Dr.	0.26	0.191	0.1714	0.19%
Dehydrated Onions	1.50	1.103	0.9886	1.10%

## B. Dry Seasoning Mix

<u>Ingredient Name</u>	<u>Enter Wt. Here</u>	<u>Percent Intermix</u>	<u>Typical Grams Per Pouch</u>	<u>Total % Per Pouch</u>
Salt	0.5600	2.128	0.5600	2.13%
Sucrose	0.4320	1.641	0.4320	1.64%
Garlic Granulated	1.0000	3.799	1.0000	3.80%
Gran On-Spatini 250- Dr. (C)	0.7200	2.736	0.7200	2.74%
Pepper Black Table	0.2400	0.912	0.2400	0.91%
McCormick Herbs de Provance	0.8000	3.040	0.8000	3.04%
Premix 20600 Lawry Seasoning Salt	0.3200	1.216	0.3200	1.22%
Chicken Fat	0.4000	1.520	0.4000	1.52%
Encap Oleo Turmeric	0.0480	0.182	0.0480	0.18%
Parsley Leaves	0.1600	0.608	0.1600	0.61%
Red Bell Pepper Granulated	0.6400	2.432	0.6400	2.43%
Sodium Bicarbonate	1.0000	3.799	1.0000	3.80%
Phase Oil 6% Sterine	20.0000	78.988	20.0000	75.99%
	<u>Amount</u>	<u>Total Percent</u>	<u>Total Gram Per Pouch</u>	<u>Total % Intermix</u>
	26.3200	100.0000	26.3200	1.0000

**Spanish Version****A. Sauce**

<u>Ingredient</u>	<u>% Weight</u>	<u>LBS</u>
Water	31.7115%	129.38
Soybean Oil	21.9664%	89.62
Salt	9.2141%	37.59
HVP Innovation	8.5894%	35.04
Purity CSC Starch	4.9360%	20.14
Sucrose	4.9029%	20.00
Chicken Flavor (White Meat)	3.4376%	14.03
Onion Granules	3.1241%	12.75
Garlic Granules	2.8345%	11.56
SD Egg Yolk	1.5000%	6.12
Purity 1773 Gum	1.5000%	6.12
IDF Chicken Stock	1.1691%	4.77
Coriander	1.0431%	4.26
Avicel 1340	0.8000%	3.26
Lactic Acid	0.6100%	2.49
Ground Cumin	0.5645%	2.30
Phosphoric Acid	0.5407%	2.21
Annato Color	0.4913%	2.00
Disodium Salt	0.2461%	1.00
Oleo Turmeric	0.2461%	1.00
Sorbic Acid	0.2000%	0.82
Jalapeno Pepper	0.1406%	0.57
Xanthan Gum	0.1250%	0.51
Sodium Benzoate	0.1000%	0.41
EDTA	0.0070%	0.03
<b>TOTAL:</b>	<b>100.0000%</b>	<b>408.00</b>

## B. Dry Seasoning Mix

<u>Ingredient Name</u>	<u>Enter Percent Intermix</u>
Onion pieces dehydrated	21.167
Red bell pepper granules	17.574
Dehydrated onion powder white	15.875
Garlic powder	9.744
Yeast Ext.	5.861
Ground Cumin	5.292
Ground Paprika	2.556
Parsley leaves	1.628
Encapsulate oleo turmeric	3.256
Chicken fat	0.936
Coriander	6.675
Lime Flavor	0.928
Sodium Bicarbonate	1.476
	7.030
TOTAL PERCENT:	100.00

II. Alfredo Sauce

## 5 A. Sauce

Alfredo Stir-In-Sauce is a single phase (non-separating) viscous product. The sauce is white colored and has a cheesy-salty flavor which is the flavor characteristic for this sauce; pH 4.20 ± 0.2;

10 Aw 0.810 ± 0.02

Component	% Weight
Water	29.5289
Oil Soybean	28.3046
Cheese Powder	7.0838
Sodium Chloride Salt Granular	6.4767
Cheese Paste	8.1970
Sweet Cream Powder	5.0599
Parmesan Cheese Flavor	4.0479
Corn Starch Modified Purity CSC	3.0359
Modified Liquid Egg Yolk 10% Salted	1.8216
Starch Modified Food N-Creamer 46	1.8216
Butter Flavor	1.5180
Avicel SD 1340	0.8905
Lactic Acid Food Grade 88%	0.6477
Phosphoric Acid 75% Food Grade	0.5869
Cheese Ingredient Blue Type	0.4048
Sodium Benzoate	0.3036
Sorbic Acid	0.1619
Xanthan Gum	0.1012
Calcium Disodium EDTA	0.0075
	100.000000

## B. Dry Seasoning Mix

Component	% Weight
Sodium Chloride	15.9432
Sodium Bicarbonate	15.9432
Garlic Granulated	15.9432
Vegetable Pieces	18.6057
Onion	8.5137
Garlic Flavor	5.3211
Oil Cottonseed/Soybean Partially Hydrogenated	4.7832
Spices	5.5801
Sucrose	3.9858
Herbs	5.3808
	100.000000

***III. Savory Herb*****A. Sauce**

Savory Herb Stir-In-Sauce is a single phase (non separating) viscous product. The sauce is a pale yellow mustard colored and has a salty-chicken and herb flavor which is characteristic for this sauce;  
 5 pH 4.00 ± 0.2; Aw 0.800 ± 0.02

10

Component	% Weight
Water	31.7826
Oil Soybean	23.2771
Sodium Chloride Salt Granular	8.0460
Starch Corn Modified Purity CSC	6.7050
Corn Protein Hydrolyzed, Vegamine 83D	5.7471
Flavor	9.5403
Chicken Broth Frozen	4.7893
Onion Granulated	1.7816
Egg Yolk Spray Dried	1.6284
Starch Purity Gum 1773	1.5326
Garlic Powder	1.1494
Onion Powder	0.9579
Cellulose Gel Avicel Plus DS 1340	0.8429
Lactic Acid Food Grade 88%	0.5651
Phosphoric Acid H <sub>3</sub> PO <sub>4</sub> 75% Food Grade	0.5077
Butter Flavor Sautéed	0.2874
Spice	0.4119
Sorbic Acid	0.2107
Xanthan Gum	0.1149
Sodium Benzoate	0.1149
Calcium Disodium EDTA	0.0072
	100.000000

## B. Dry Seasoning Mix

Component	% Weight
Sodium Chloride Salt Multi Purpose	21.2464
Vegetable Pieces	18.7779
Onion White Minced	15.6482
Onion Powder	11.8340
Sodium Bicarbonate	10.3982
Oil Cottonseed/Soybean Partially Hydrogenated	4.9764
Spice	7.4485
Poultry Seasoning Flavor	1.6939
Parsley Leaves Natural	1.7604
Paprika Ground	1.6352
Color Caramel	1.6352
Color	2.9457
	100.000000

## 5 IV. Spanish

## A. Sauce

Spanish Stir-In-Sauce is a single phase (non separating) viscous product. The sauce is pumpkin color and has a salty-cumin flavor which is the flavor characteristic for this sauce; pH 4.00 ± 0.2; Aw 0.740 ± 0.02

10

B.

Component	% Weight
Water	31.0882
Oil Soybean	22.1477
Sodium Chloride	9.2741
Corn Protein Hydrolyzed, Vegamine 83D	8.6945
Starch Corn Modified Purity CSC	4.8303
Sucrose	4.8303
Flavor Chicken White Meat Type	3.8642
Onion Granulated	3.1880
Garlic Granulated	2.8982
Starch Purity Gum 1773	1.5940
Egg Yolk Spray Dried	1.5070
Chicken Broth	0.9661
Spices	1.6809
Cellulose Gel Avicel Plus SD 1340	0.8501
Lactic Acid Food Grade 88%	0.6183
Phosphoric Acid H <sub>3</sub> PO <sub>4</sub> 75% Food Grade	0.5506
Color	0.7149
Flavor	0.2512
Acid Sorbic	0.2125
Xanthan Gum	0.1159
Sodium Benzoate Dense Powder	0.1159
Calcium Disodium EDTA	0.0071
	100.000000

## C. Dry Seasoning Mix

Component	% Weight
Onion White Minced	21.5057
Dehydrated Vegetable Pieces	17.8571
Onion Granulated	16.0674
Onion Granulated	16.0674
Garlic Powder	10.1461
Sodium Bicarbonate	7.1023
Spices	11.2825
Sodium Chloride Salt	5.4627
Oil Cottonseed/Soybean Partially Hydrogenated	5.0811
Parsley Leaves Natural	3.0438
Flavor Lime	1.5016
Color	.9497
	100.000000

V. *Teriyaki*

## A. Sauce

Teriyaki Stir-In-Sauce is a single phase (non-separating) viscous product. The sauce is chocolate colored and has a salty, sesame and soy sauce flavor which is the flavor characteristic for this sauce; pH 5 4.10 ± 0.2; Aw 0.720 ± 0.02

Component	% Weight
Soy Sauce	19.5141
Soybean Oil	17.3565
Water	16.6628
Sucrose	16.3527
Sodium Chloride Salt	8.7214
Flavor	6.0324
Corn Starch Modified Purity CSC	2.7254
Vinegar Rice Wine	2.3075
Monosodium Glutamate Monohydrate	2.1804
Starch Purity Gum 1773	1.9987
Egg Yolk Spray Dried	1.8170
Color	1.3627
Garlic Flavor	0.9993
Cellulose Gel Avicel Plus SD 1340	0.7995
Lactic Acid Food Grade 88%	0.3543
Phosphoric Acid H <sub>3</sub> PO <sub>4</sub> 75% Food Grade	0.3180
Acid Sorbic	0.1999
Gum Xanthan	0.1817
Sodium Benzoate	0.1090
Calcium Disodium EDTA	0.0067
	100.000000

B. Dry Seasoning Mix (The mix does not contain buffer)

Component	% Weight
Onion Granulated	22.2816
Vegetable Pieces	17.0503
Sucrose	15.5003
Sodium Chloride	12.4002
Orange Peel Granulated	11.6407
Ginger Flavor	5.1732
Oil Cottonseed/Soybean Partially Hydrogenated	5.1307
Herbs	4.0688
Seasoned Salt Premix	3.8479
Garlic Flavor	2.9063
	100.000000

5 VI. **Lemon Garlic**

A. Sauce

Lemon Garlic Stir-In-Sauce is a single phase (non-separating) viscous product. The sauce is tan gravy-like color and has salty, chicken, garlic flavor which is characteristic for this sauce; pH 4.00 ± 0.2; Aw 0.720 ± 0.02

Component	% Weight
Water	30.0618
Oil Soybean	17.8301
Sucrose	8.1950
Sodium Chloride Salt	7.7130
Flavor Chicken White Meat Type	6.7488
Chicken Broth	4.8206
Starch Corn Modified Purity CSC	4.8206
Corn Protein Hydrolyzed	4.3385
Flavor	2.8924
Garlic Powder	1.9282
Soy Protein Hydrolyzed	1.9282
Egg Yolk Spray Dried	1.5426
Starch Purity Gum 1773	1.5426
Onion Granulated	1.3498
Onion White Powder	0.9641
Cellulose Gel Avicel Plus SD 1340	0.8484
Garlic Flavor	0.6942
Lactic Acid Food Grade 88%	0.5206
Phosphoric Acid H3PO475% Food Grade	0.4628
Yeast Extract	0.3471
Acid Sorbic	0.2121
Xanthan Gum	0.1157
Sodium Benzoate	0.1157
Calcium Disodium EDTA	0.0071
	100.000000

## B. Dry Seasoning Mix

Component	% Weight
Vegetable Pieces	26.5252
Sodium Chloride	17.6835
Maltodextrin 9 to 15 Detrose Equivalent	11.0522
Garlic Granulated	7.1132
Sodium Bicarbonate	7.1043
Spice	8.2935
Sucrose	3.4615
Oil Cottonseed/Soybean partially Hydrogenated	3.4529
Herbs	3.3156
Flavor Lemon Juice	3.2714
Lemon Peel	2.8470
Color	2.8470
Flavors	2.3077
Turmeric Oleoresin Spray Dried	.7250
	100.000000

5

**VII. Cheddar**

## A. Sauce

Cheddar Stir-In-Sauce is a single phase (non-separating) viscous product. The sauce is bright orange in color and has a salty-cheese flavor which is the main flavor characteristic for this sauce; pH 4.20 ± 0.2; Aw 0.800 ± 0.02

Component	% Weight
Water	32.0570
Oil Soybean	23.0556
Cheese Powder	16.7610
Cheese Flavors	7.0001
Sodium Chloride	5.5213
Cheese Paste	3.9438
Modified Liquid Egg Yolk 10% Salted	2.9578
Starch Modified Food N-Creamer 46	2.3663
Starch Corn Modified Purity CSC	1.9719
Onion Granulated	1.0451
Avicel SD 1340	0.8676
Lactic Acid Food Grade 88%	0.7296
Phosphoric Acid H3PO4 75% Food Grade	0.6507
Mustard Flour	0.4930
Sodium Benzoate	0.3155
Acid Sorbic	0.1578
Xanthan Gum	0.0986
Calcium Disodium EDTA	0.0073
	100.000000

### B. Dry Seasoning Mix

Component	% Weight
Minced Onions	21.0911
Vegetable Pieces	17.6629
Onion Granulated	10.9510
Sodium Citrate Dihydrate	10.0357
Sodium Chloride Salt	8.4340
Garlic Powder	7.3020
Pepper Black Medium	5.8649
Oil Cottonseed/Soybean Partially Hydrogenated	5.0419
Sodium Bicarbonate	4.0143
Herbs	3.6129
Spice	4.4759
Color	1.5134
	100.000000

### 5 Example IX

The liquid or paste sauces were formulated for ambient shelf stability (about 73° F) to be packaged with or without atmosphere control, for example, nitrogen flush to remove oxygen. The product required a fresher taste unaffected by heat. Therefore, products were formulated to address microbiological shelf stability, as well as, pathogen reduction or survival. The objective was to formulate a product that would not support the growth of spoilage microorganisms and in the absence of pasteurization, would also deliver a 5-log reduction of key recognized food-borne pathogens that would have normally been targeted if heat or other process were applied.

The dynamics of bacterial death is typically logarithmic, with each 10% sub-population demonstrating increased levels of resistance. The 5-log reduction is a fairly conservative approach to ensure safety. It

5 anticipates a relatively high contamination level and addresses the resistant sub-populations. Sauce formulation constituents were boosted to lower the pH to mildly acidify the sauces and lower Aw (Water Activity). Included were sorbic acid and sodium benzoate preservatives.

10 Typically, these preservatives are used in foods to prevent spoilage or enhance shelf life. However, these have not been applied to achieve a 5-log reduction of key vegetative pathogens in place of other processing methods.

15 The pathogens used in the challenge studies were *E.coli* 0157:H7, *Salmonella* spp., and *Listeria monocytogenes*.

The objective was to evaluate the survival of *E.coli* 20 0157:H7, *Salmonella* spp., and *L.monocytogenes* in different flavor varieties of sauces. The sauces tested were very similar to the Examples herein: Three Cheese Alfredo Sauce (Example III), Teriyaki Sauce (Example VI), Savory Chicken Sauce (Example IV), Spanish Style Sauce (Example V),

25 Cheddar Sauce (Example VIII), and Lemon Garlic Sauce (Example VII) for Chicken. The formulations tested were in some respects different from those listed under examples III to VIII inclusive. Specifically, in that the pH was varied some and the water activity was also varied.

**Methodology**

The strain sources were from the following collection:  
*E.coli* 0157:H7 (35150; 48895; 43889; 380-94 FSIS Salami;  
JIT Box #145).  
5 *Salmonella* spp. (4031; 8326; 4931; 14028; *S.agona*;  
*S.newport*).  
*Listeria monocytogenes* (Lm 19111; Lm8; Lm10 Jalisco; CA-2;  
Lm 16 CA-1; Lm Scott A; Lm V7).

10 The bacteria were grown in BHI with 1% Glucose at 35°C  
for 2 days. The tests included at least 4 different  
strains for each. The strains were pooled into three  
separate tubes to attain a target population of  $10^8$  -  
 $10^9$ /ml for each of the three pathogens. Sauce was  
15 aseptically added to individual sterile Whirl Pak pouches  
and weighed to contain 11 - 12 grams of sauce each. These  
sauce packets were inoculated using 0.1ml of the individual  
bacterial pools, and mixed well by massaging the pouch  
exterior. Only one genus type pathogen was added to  
20 individual packets to avoid interaction. Enough packets  
were prepared to allow for daily destructive sampling and  
testing for up to 10 days. Uninoculated controls were also  
tested at the beginning, middle, and end of the test  
period.

25 Inoculated sauce was maintained in a controlled  
temperature environment to simulate ambient at 23°C.  
Packets were analyzed destructively each day.  
Butterfield's Buffers (99ml) were used to prepare 1:10  
30 dilutions. About 10 ml of buffer was added to each pouch

to dilute the sauce and facilitate complete removal of sauce out of the pouch and into the buffer dilution blank.

*E.coli* 0157:H7 was enumerated using both Sorbitol MacConkey Agar (CTSMAC) and *E.coli* 3MpetriFilm at 35°C(2 -3 days). *L.monocytogenes* was enumerated using both Listeria Selective Agar (MOX) with added colistin (10mg/L) and moxalactam (15mg/L), and APC 3Mpetrifilm at 30°C(2 -3 days). *Salmonella spp.* was enumerated on Hektoen Enteric Agar and Xylose Lysine Desoxycholate Agar at 35°C(2 -3 days).

When sauce packets reached 4 days at 23°C ambient, enrichment was performed in addition to direct enumeration. This enabled determination of survival (presence or absence) when counts were reduced below 10 cfu per gram. In place of Butterfield's Buffer, Tryptone Soy Broth (99ml) supplemented with yeast extract was used to enrich sauces inoculated with *E.coli* 0157:H7 and sauces inoculated with *Salmonella*. Enrichment broths were incubated at 35°C for 24 hours. *E.coli* TSBYE were spread plated onto SMAC (CTSMAC). *Salmonella* TSBYE were transferred (1.0ml) to tubes of Tetrathionate Broth and Selenite Cystine Broth for 24 hours at 35°C. These were subsequently streaked onto HE and XLD using 3mm loops and incubated for 24 hours at 35°C. For Listeria, enrichment was also performed in addition to direct enumeration. In place of Butterfield's Buffer, UVM Broth (99ml) with added naladixic acid (20 mg/L) and acriflavine hydrochloride (25mg/L) was used to enrich the sauce for 24 hours at 30°C. Secondary

enrichment was performed using Fraser Broth for 24 hours and 48 hours at 30°C, with streaking onto MOX plates immediately at these times, and incubating at 30°C for 2 days.

5

The data for these challenge studies have been graphed.  
~~NOT TO BE TAKEN INTO CONSIDERATION FOR THE PURPOSE OF THIS INTERNATIONAL APPLICATION~~ [and included in figures A18 (inclusive)]. These graphs have been made on logarithmic scales, and demonstrate the log reductions taking population levels from 6 and 7 log levels down to less than 1, followed by complete die off, achieving a minimum 5 log reduction. Significant reduction was achieved within 48 hours at ambient (23°C). Whereas, at refrigerated temperatures (10° C) the effect was less. Due to potential inhibition of the sauce constituents (acids and salts) on bacterial growth within enumeration medium, counts may not be reliable on low dilution plates ( $10^{-1}$  plates). Therefore, enrichments were performed to overcome the inhibition and identify presence or absence to determine survival and time of complete die off. The results show the minimum 5 log reduction was achieved with 2 days storage at ambient and greater than 5 logs within 5 days maximum. When sauce samples were chilled at 10°C (50°F), survival was extended (see Alfredo sauce results). Good enumeration was achieved on plates with dilutions  $>10^{-1}$ . Particularly good counts (consistently higher) were attained with *E.coli* 3MPetriFilm versus SMAC, and with APC 3MPetriFilm versus MOX. However, on  $10^{-1}$ . Plates, these were very unreliable showing clear zones of inhibition, and much better data was attained using SMAC and MOX in place of PetriFilm.

Specific formulation constituents were boosted to achieve product stability and deliver a minimum 5-log reduction of known vegetative pathogens. These 5 constituents include sorbic acid and sodium benzoate. Typically, these preservatives are used in foods to prevent spoilage or enhance shelf life. However, these have not been applied to achieve a 5 log reduction of key vegetative pathogens in place of other processing methods, such as: 10 heat or thermal processing; filtration (reverse osmosis); high pressure processing; microwaves, UV, X-ray, and gamma irradiation, or combinations of the above. The effect on pathogen survival and 5-log reduction is promoted using other sauce parameters, such as, Aw and pH (such as, using 15 salt and added acidulants, for example, lactic acid and phosphoric acid, and with the addition of calcium disodium EDTA).

Figures 1, 2 and 3 represent Salmonella, E Coli and 20 Listeria respectively with a formulation similar to Example III and 0.15% sorbic acid and 0.3% benzoate.

Figures 4, 5 and 6 represent Salmonella, E Coli and Listeria respectively with a formulation similar to Example 25 IV and 0.2% sorbic acid and 0.1% benzoate.

Figures 7, 8 and 9 represent Salmonella, E Coli and Listeria respectively with a formulation similar to Example V and with a formulation of 0.22% sorbic acid and 0.1% 30 benzoate.

Figures 10, 11 and 12 represent Salmonella, E Coli and Listeria in a formulation similar to Example VI with 0.2% sorbic acid and 0.1% benzoate.

5

Figures 13, 14 and 15 represent Salmonella, E Coli and Listeria in a formulation similar to Example VII with 0.2% sorbic acid and 0.1% benzoate.

10 Figures 16, 17 and 18 represent Salmonella, E Coli and Listeria in a formulation similar to Example VIII with 0.15% sorbic acid and 0.3% benzoate.

It is understood that the examples and embodiments  
15 described herein are for illustrative purposes only and  
that various modifications or changes in the light thereof  
will be suggested to persons skilled in the art and are to  
be included within the spirit and purview of this  
application and the scope of the appended claims.

20

WHAT IS CLAIMED IS:

1. A stable acidified emulsion having a centrifuge stability of 25% and being capable of a 5 log microbial kill without pasteurization comprising:
  - about 20% to 40% water;
  - about 15% to 40% oil;
  - about 25% to 65% solids, said solids including about 2% to 18% sodium chloride;
  - about 1% to 2% of an emulsifier;
  - about 3000 ppm to about 5000 ppm of a preservative;
  - about 1% to 5% of a lipophilic starch;
  - about 0.5% to 5% of microcrystalline cellulose;
  - about 0.25% to 2% of sodium stearoyl lactylate;
  - a sufficient amount of an acidulant to result in a pH of about 3.0 to 4.6 in said stabilized emulsion;
  - about 0.1% to 0.25% of an edible gum;
  - about 1% to 12% of a heat swelling starch

2. An emulsion as defined in claim 1 wherein said sodium chloride is present in an amount of about 8% to 12%.
3. An emulsion as defined in claim 1 wherein said emulsifier has an HLB of about 14 to 25.
4. An emulsion as defined in claim 3 wherein said emulsifier is egg or enzyme treated egg.
5. An emulsion as defined in claim 1 wherein said lipophilic starch is derived from waxy maize and is cold water soluble.
6. An emulsion as defined in claim 1 wherein said acidulant is present in an amount sufficient to yield a pH of about 3.5 to 4.5.
7. An emulsion as defined in claim 1 wherein said gum is selected from the group consisting of xanthan, carageenan, pectin, guar, gum arabic, gum tragacanth and propylene glycol alginate.

# INTERNATIONAL SEARCH REPORT

Intern: at Application No  
PCT/EP 00/04378

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 A23L1/39 A23L1/24

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 A23L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, FSTA

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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A	EP 0 558 832 A (UNILEVER PLC ;UNILEVER NV (NL)) 8 September 1993 (1993-09-08) claims 4-8; examples 1-3 column 2, line 38-47 column 3, line 10-29 column 4, line 3 -column 5, line 22,38-51 ---	1-7
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Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
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- "&" document member of the same patent family

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**INTERNATIONAL SEARCH REPORT**

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